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Jc973 U.S. PTO

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

50277-1545

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, John Lightfoot, et al., entitled HIERARCHY-BASED SECURED DOCUMENT REPOSITORY, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification 27 Total Pages; (X) Drawing(s) 6 Total Sheets; (X) Cover Sheet 1 Page

(X) Oath or Declaration:    Pages

( ) A Newly Executed Combined Declaration and Power of Attorney:

( ) Signed.

(X) Unsigned.

( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.

( ) Preliminary Amendment.

( ) Information Disclosure Statement and Form PTO-1449.

(X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Applicant claims small entity status. See 37 CFR 1.27.

( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

( ) Other: Fee Transmittal Sheet (1 pg); Request and Certification Under 35 U.S.C. 122(b)(2) (B)(i) (1 pg.)

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	24	4	\$18.00	\$72.00
Independent Claims	2	0	\$80.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$710.00
Total Filing Fee				\$ 782.00

Charge \$782.00 to Oracle Deposit Account 150635 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to the Oracle Deposit Account.

Respectfully submitted,

By: Brian D. Hickman

Brian D. Hickman, Attorney of Record, Reg. No. 35,894

Date: 12/1/00

Correspondence Address:

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1600 Willow Street  
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Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: Tirena Say

Typed Name: TIRENA SAY

Express Mail Label No.: EL652871185

Date of Deposit: December 1, 2000

12/01/00

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT	(\$ ) 782.00
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**Complete if Known**

Application Number	
Filing Date	December 1, 2000
First Named Inventor	John Lightfoot
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned
Attorney Docket No.	50277-1545

**METHOD OF PAYMENT** (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	150635
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Deposit Account Name	Oracle Corporation
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☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check    ☐ Money Order    ☐ Other

3. ☐ Applicant claims small entity status. See 37 CFR 1.27

### FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>(\$)</b> 710.00
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## 2. EXTRA CLAIM FEES

		Extra Claims		Fee from Below	Fee Paid
Total Claims	24	- 20**=	4	18.00	72.00
Independent Claims		- 3**=		80.00	
Multiple Dependent					

**\*\*or number previously paid, if greater; For Reissues, see below**

Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description
103	18	203	9	
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$782.00)</b>
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### 3. ADDITIONAL FEES

Large Entity Fee Code				Small Entity Fee Code				Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath					
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.					
139	130	139	130	Non-English specification					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
115	110	215	55	Extension for reply within first month					
116	390	216	195	Extension for reply within second month					
117	890	217	445	Extension for reply within third month					
118	1,390	218	695	Extension for reply within fourth month					
128	1,890	228	945	Extension for reply within fifth month					
119	310	219	155	Notice of Appeal					
120	310	220	155	Filing a brief in support of an appeal					
121	270	221	135	Request for oral hearing					
138	1,510	138	1,510	Petition to institute a public use proceeding					
140	110	240	55	Petition to revive – unavoidable					
141	1,210	241	605	Petition to revive – unintentional					
142	1,210	242	605	Utility issue fee (or reissue)					
143	430	243	215	Design issue fee					
144	580	244	290	Plant issue fee					
122	130	122	130	Petitions to the Commissioner					
123	50	123	50	Petitions related to provisional applications					
126	240	126	240	Submission of information Disclosure Stmt					
581	40	581	40	Recording each patent assignment per property (times number of properties)					
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))					
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))					
Other fee (specify) _____									
Other fee (specify) _____									

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(S)
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(\$)

## SUBMITTED BY

Name (Print/Type)	Brian D. Hickman
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Registration No. (Attorney/Agent)	35,894
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## Complete (if applicable)

Telephone	(408) 414-1080
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*Signature*

Date \_\_\_\_\_

December 1, 2000

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, Washington, DC 20231.

OID #

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		John Lightfoot
	Title	Hierarchy-Based Secured Documents	
	Atty Docket Number		50277-1545

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

DECEMBER 1, 2000

Date \_\_\_\_\_

*[Signature]*

Signature

BRIAN D. HICKMAN

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**